



Docket No.: M1071.1957  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Shigeyuki Mikami

Application No.: 10/588,282

Confirmation No.: 5008

Filed: August 4, 2006

Art Unit: 2817

For: HIGH-FREQUENCY CIRCUIT DEVICE  
AND TRANSMITTING AND RECEIVING  
APPARATUS

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT AND NOTICE OF ACCEPTANCE  
OF APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.495**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has the following error: The Assignment information is missing. Please add the following Assignment information to the Filing Receipt:

**Murata Manufacturing Co., Ltd.**

Applicant also requests that a corrected Notice of Acceptance of Application Under 35 U.S.C. 371 And 37 CFR 1.495 be issued in the above-identified patent application. The Notice of Acceptance of Application received by Applicant, a copy of which is attached hereto, has the following error: Incorrect Date of Completion of All

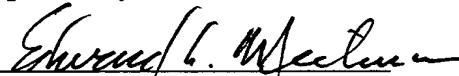
35 U.S.C. 371 Requirements. Please correct the Date of Completion of All 35 U.S.C. 371 Requirements to reflect the date that the USPTO received all Requirements: **08/04/2006**.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

No fee is required. However, The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. M1071.1957.

Dated: March 2, 2007

Respectfully submitted,

By 

Edward A. Meilman

Registration No.: 24,735

DICKSTEIN SHAPIRO LLP

1177 Avenue of the Americas

41st Floor

New York, New York 10036-2714

(212) 277-6500

Attorney for Applicant

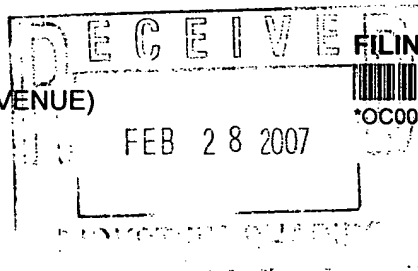


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/588,282	08/04/2006	2817	900	M1071.1957	12	4	1

32172  
 DICKSTEIN SHAPIRO LLP  
 1177 AVENUE OF THE AMERICAS (6TH AVENUE)  
 NEW YORK, NY 10036-2714



CONFIRMATION NO. 5008

FILING RECEIPT



\*OC000000022548252\*

Date Mailed: 02/26/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shigeyuki Mikami, Sagamihara-shi, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 32172.

Assignment for Application: Murata Manufacturing Co., Ltd  
 Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/07497 04/20/2005

## Foreign Applications

JAPAN 2004-194478 06/30/2004

If Required, Foreign Filing License Granted: 02/16/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/588,282**

Projected Publication Date: 05/31/2007

Non-Publication Request: No

Early Publication Request: No

Title

DSMO FILE NO. M1071.1957

DUE: \_\_\_\_\_

C/L: \_\_\_\_\_

ENTERED BY: af.sATTY: Slw / RL / Form

## High-frequency circuit device and transmitting and receiving apparatus

**Preliminary Class**

333

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR

1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

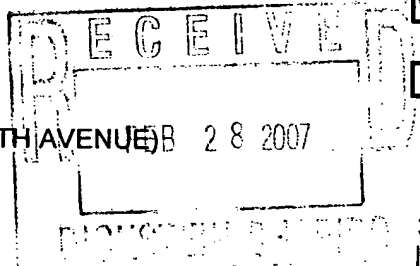


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/588,282	Shigeyuki Mikami	M1071.1957

32172  
 DICKSTEIN SHAPIRO LLP  
 1177 AVENUE OF THE AMERICAS (6TH AVENUE)  
 NEW YORK, NY 10036-2714



INTERNATIONAL APPLICATION NO.	
PCT/JP05/07497	
I.A. FILING DATE	PRIORITY DATE
04/20/2005	06/30/2004

CONFIRMATION NO. 5008

371 ACCEPTANCE LETTER



\*OC000000022548253\*

Date Mailed: 02/26/2007

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>08/04/2006</u>	<u>08/04/2006</u> <del>12/30/2006</del>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 08/04/2006
- English Translation of the IA filed on 08/04/2006
- Copy of the International Search Report filed on 08/04/2006
- Copy of IPE Report filed on 08/04/2006
- Preliminary Amendments filed on 08/04/2006
- Information Disclosure Statements filed on 08/04/2006
- Oath or Declaration filed on 08/04/2006
- U.S. Basic National Fees filed on 08/04/2006
- Assignment filed on 08/04/2006
- Priority Documents filed on 08/04/2006
- Specification filed on 08/04/2006

DSMO FILE NO. M1071.1957

DUE: \_\_\_\_\_

C/L: \_\_\_\_\_

ENTERED BY: ASATTY: SLW / RL / form

- Claims filed on 08/04/2006
  - Abstracts filed on 08/04/2006
  - Drawings filed on 08/04/2006
- 

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

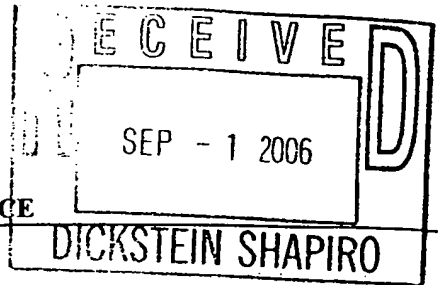
---

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

**PART 1 - ATTORNEY/APPLICANT COPY**

FORM PCT/DO/EO/903 (371 Acceptance Notice)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 24, 2006

PTAS



\*103290544A\*

STEVEN I. WEISBURD  
DICKSTEIN SHAPIRO LLP  
1177 AVENUE OF THE AMERICAS  
41ST FLOOR  
NEW YORK, NY 10036-2714

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/04/2006

REEL/FRAME: 018167/0681

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: M1071.1957

ASSIGNOR:

MIKAMI, SHIGEYUKI

DOC DATE: 02/14/2006

ASSIGNEE:

MURATA MANUFACTURING CO., LTD.  
A170 INTELLECTUAL PROPERTY  
DEPARTMENT, 10-1, HIGASHIKOTARI  
1-CHOME  
NAGAOKAKYO-SHI, KYOTO-FU 617-8555

JAPAN

SERIAL NUMBER: 10588282

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: HIGH-FREQUENCY CIRCUIT DEVICE AND TRANSMITTING AND RECEIVING APPARATUS

DSMO FILE NO. M1071.1957  
DUE: \_\_\_\_\_  
BY: HA 5/10/06/FAIR



018167/0681 PAGE 2

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

10/588282  
IAP11 Rec'd PCT/PTO 04 AUG 2006

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

08-14-2006



103290544

To the Director of the U.S. Patent and

Documents or the new address(es) below.

1. Name of conveying party(ies):  
Shigeyuki Mikami

2. Name and address of receiving party(ies)

Name: MURATA MANUFACTURING CO., LTD.

Internal Address:

Street Address:

Murata Manufacturing Co., Ltd.  
A170 Intellectual Property Department  
10-1, Higashikotari 1-chome  
Nagaokakyo-shi  
Kyoto-Fu; 617-8555; JAPAN

City:

State:

Country: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): February 14, 2006

- ☒ Assignment ☐ Merger ☐ Change of Name  
☐ Security Agreement ☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

This application

B. Patent No.(s)

10/588282

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Steven I. Weisburd  
DICKSTEIN SHAPIRO LLP  
Internal Address: Atty. Dkt.: M1071.1957  
Street Address: 1177 Avenue of the Americas  
41st Floor

City: New York  
State: NY Zip: 10036-2714  
Phone Number: (212) 277-6544  
Fax Number: (212) 277-6501  
Email Address: WeisburdS@DSMO.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 1001  
Expiration Date 01/07

b. Deposit Account Number  
Authorized User Name

9. Signature

Richard LaCava  
Signature

August 4, 2006  
Date

Richard LaCava - 41,135  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

08/09/2006 JSUNGA 00000035 10588282

04 FC:8021

40.00 GP